Who is the Prime Sponsor?*

Government Entity

Federal Government: Full rate according to type of work performed

For-Profit Company/Entity

Full rate according to type of work performed‡ – F&A Rate Reduction Requests unlikely to be approved

Non-Profit Foundation

Does Sponsor have its own published rate?**

Apply Sponsor’s rate and include URL with the proposal routing form

Yes

No

State of Iowa: Full rate according to type of work performed‡

Research (Basic or Applied): 54.5%

Instruction: 45%

Corporate and Industry Sponsored Clinical Trials:

Corporate and industry sponsored clinical trials are studies funded by a corporation and which involve evaluation of a technology or product, including Phase 1-3 clinical investigations; Phase 4 postmarketing studies; IDE investigations; and postmarket surveillance studies involving human subjects. Refer to the DSP website for more complete definitions. UI’s F&A rate for all industry sponsored clinical trials is 26% of total direct costs (TDC). If the industry sponsor insists on paying a lower F&A rate (ex: 20%), 26% will still be assessed on the funds. The investigator must decide whether the research can be performed for the amount that is available for direct costs.

Please Note: Not all projects funded by pharmaceutical or device manufacturers qualify as Corporate/Industry Sponsored Clinical Trials. These organizations may also fund basic or applied research. The Corporate/Industry Sponsored Clinical Trial rate does not apply to clinical trials that are funded by the Federal Government, either directly or with Federal funding coming to the UI via a company or other pass-through entity.

Off-Campus (Org. Research and Instruction): a project, or part of a project, is considered to be performed off-campus if more than 50% of the personnel effort takes place off-campus and that off-campus portion is more than 90 consecutive days. (This is not common)

Off-Campus: 26%

Other Sponsored Activities: Includes externally funded projects which involve the performance of work or activities that are not considered Organized Research or Instruction, includes Fee For Service work, Support for conferences or seminars, Community Service Programs, Consulting, etc. (This is not common; CANNOT include projects involving Human Subjects)

Miscellaneous: 25% On Campus 17% Off Campus

Note: Not all projects sponsored by pharmaceutical companies qualify as clinical trials. Organized Research rates apply in some situations. See the DSP website for definitions.

‡ State of Iowa: When funding flows from the prime sponsor through the State of Iowa, the University’s rate applicable to the Prime Sponsor’s funding opportunity will apply. An 8% F&A rate is applied to State of Iowa agreements funded from State funds.

** Published F&A rate: this refers to a website or other formal publication by the Sponsor indicating the maximum F&A they will pay/allow. Documentation does not include an email from the Sponsor.

* The Prime Sponsor is where the funding originates, regardless of any intermediate sponsors. The nature of the Prime Sponsor’s funding opportunity dictates how we categorize funding types.