F&A Rate Decision Tool
http://dsp.research.uiowa.edu/facilities-administrative-fa-costs

This tool is to assist departments with understanding the appropriate rate to apply to research agreements. DSP recognizes that special circumstances sometimes occur and will work with you to apply the correct rate for your situation. **If you have questions or if you are facing a new situation, please contact us at 335-2123 or dsp@uiowa.edu BEFORE submitting a budget to a sponsor.**

* The Prime Sponsor is where the funding originates, regardless of any intermediate sponsors. The nature of the Prime Sponsor’s funding opportunity dictates how we categorize funding types.

** Published F&A rate: This refers to a website or other formal publication by Sponsor indicating the maximum F&A they will pay. Documentation does not include email from Sponsor. Does not apply to for-profit Sponsors.

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**Corporate and Industry Sponsored Clinical Trials**

Corporate and industry sponsored clinical trials are studies funded by a corporation and which involve evaluation of a technology or product, including Phase 1-3 clinical investigations, Phase 4 postmarketing studies as defined by the FDA, IDE investigations, and postmarket surveillance studies as defined by the FDA. A complete description of qualifying studies is on DSP’s website (includes hyperlink). UI’s F&A rate for all industry-sponsored clinical trials is 30% of total direct costs (TDC). If the industry sponsor insists on paying a lower F&A rate, 30% will still be assessed on the funds. Not all projects funded by pharmaceutical companies qualify as Corporate and Industry Sponsored Clinical Trials. Non-international studies, including observational, registry and retrospective chart reviews, do not qualify unless the study otherwise satisfies the criteria on DSP’s website. Studies with the Federal Government as Primary Sponsor, or a collaboration between the Federal Govt and a profit company also do not qualify.

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**Organized Research**

Organized Research includes all externally funded research activities, both basic and applied, and all development activities; includes activities related to the training of individuals in research techniques where such activities utilize the same facilities as other research and development activities. (This is most common.)

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**Instruction**

Instruction includes all activities related to teaching and training of UI students or staff, except for research training. Includes coursework that is offered for credit toward a degree or certificate, on a non-credit basis, or through regular academic departments or by separate divisions, such as summer session. (This is not common)

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**Corporate Funded Clinical Trials: 30%**

Basic or Applied Research: 55.5% for awards starting 07/01/2022

Instruction: 46%
07/01/2022

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**Other Sponsored Activities**

Other Sponsored Activities include externally funded projects which involve the performance of work or activities that are not considered Organized Research or Instruction; includes Fee For Service work, Support for conferences or seminars, Community Service Programs, Consulting, etc. (This is not common; CANNOT include projects involving Human Subjects)

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Off-Campus: The rate applies for activities a) Performed in facilities not owned by the institution or in which these facility costs are not included in the F&A pools; or b) Where rent is directly allocated/charged to the project(s). Projects will not be subject to more than one F&A cost rate. If more than 50% of a project is performed off-campus, the off-campus rate will apply to the entire project.

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Off-Campus (RESEARCH): 26% for awards starting 07/01/2022

Off-Campus (OTHER SPONSORED ACTIVITIES): 16% for awards starting 07/01/2022

Other Sponsored Activities: 27% for awards starting 07/01/2022

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State of Iowa: Full rate according to type of work performed.

Why is the Prime Sponsor?

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